

# Audit Committee

31 May 2018



**Report of:** Chair of the Audit Committee

**Title:** Audit Committee Annual Report to Full Council – Draft Report

**Ward:** N/A

**Officer Presenting Report:** Interim Chief Internal Auditor/ Head of Internal Audit

## Recommendation

The Committee Members consider and approve the Audit Committee's Draft Annual Report to Council for 2017/18.

## Summary

The report provides a summary of the work of the Committee during 2017/18 and its conclusions following oversight of the assurance, governance and risk management frameworks within which the Council operates. It also includes a self- assessment of the Committee's effectiveness in line with good practice.

## The significant issues in the report are:

The work of the Committee together with conclusions from that work as detailed in section four of Appendix A;

The self-evaluation of the Committee's effectiveness at Section 5 and Appendix 2 of Appendix A.



## Policy

The Audit Committee's Terms of Reference are determined by Full Council. The City Council has a duty to ensure adequate and effective risk management, internal control and governance arrangements and the economy, efficiency and effectiveness of its activities. The Audit Committee has a key role in assessing the extent to which this responsibility is being met and advising the Council on the adequacy and effectiveness of these arrangements.

## Consultation

1. **Internal**  
Audit Committee Members
2. **External**  
N/A

## Context

3. The Committee's Terms of Reference include a requirement to provide full Council with an Annual Report summarising its conclusions from the work it has undertaken during the year. The report is provided at Appendix A.

## Proposal

4. Committee members review the Annual Report to Full Council and approve it for submission to Full Council, subject to any amendments required identified at the Committee meeting.

## Other Options Considered

5. None

## Risk Assessment

6. The assurances provided to the Council by the Audit Committee are an important element of the Council's governance arrangements.

## Public Sector Equality Duties

- 7a) Before making a decision, section 149 Equality Act 2010 requires that each decision-maker considers the need to promote equality for persons with the following "protected characteristics": age, disability, gender reassignment, pregnancy and maternity, race, religion or belief, sex, sexual orientation. Each decision-maker must, therefore, have due regard to the need to:
  - i) Eliminate discrimination, harassment, victimisation and any other conduct prohibited under the Equality Act 2010.
  - ii) Advance equality of opportunity between persons who share a relevant protected

characteristic and those who do not share it. This involves having due regard, in particular, to the need to --

- remove or minimise disadvantage suffered by persons who share a relevant protected characteristic;
  - take steps to meet the needs of persons who share a relevant protected characteristic that are different from the needs of people who do not share it (in relation to disabled people, this includes, in particular, steps to take account of disabled persons' disabilities);
  - encourage persons who share a protected characteristic to participate in public life or in any other activity in which participation by such persons is disproportionately low.
- iii) Foster good relations between persons who share a relevant protected characteristic and those who do not share it. This involves having due regard, in particular, to the need to –
- tackle prejudice; and
  - promote understanding.

7(b) No Equality Impact anticipated from this report.

#### **Legal and Resource Implications**

**Legal – N/A**

**Financial – N/A**

**Land – N/A**

**Personnel – N/A**

#### **Appendices:**

Appendix A – Audit Committee Report to Full Council 2017/18 (draft)

#### **LOCAL GOVERNMENT (ACCESS TO INFORMATION) ACT 1985**

##### **Background Papers:**

Audit Committee papers throughout 2017/18.

CIPFA Guidance on Audit Committee Effectiveness 2013